

Monitoring and Evaluation Provider Programs 2010-11





Overview

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 - Timeline
 - Outcomes
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Provider Monitoring





Elementary and Secondary Education Act (ESEA)

The SEA is required to monitor the quality and effectiveness of the services offered by approved Supplemental Educational Services (SES) providers.

ESEA, Section 1116(e)(4)(D); 34 C.F.R. §200.47(c).



Purpose of Monitoring

- Address requirements of SES providers as stipulated in ESEA
- Verify compliance with narratives and assurances in the SES provider's approved application



2008-2009 SES Monitoring Pilot

- 17 indicators
- Unannounced visits
- Reviewed Management and Instructional Practices
- No formal reporting



2009-10 SES Monitoring

- 6 indicators
- Unannounced site visits
- Documentation review
- Observation of practices
- Interview tutors



2009-10 SES Monitoring

Indicators:

- Program Management – 7 components
- Instructional Program - 7 components
- Parent Communication - 3 components
- Federal, State, and Local Health, Safety and Civil Rights Laws - 6 components
- District Agreements – 2 components
- Online Provider Requirements (when applicable) – 3 components



2009-10 SES Monitoring

- 95 providers monitored
- 45 met all requirements
- 100% responded appropriately to findings



2009-10 SES Monitoring

Significant # of Findings

- Staff training
- Student health and safety information
- Student learning plans



2009-10 Lessons Learned

- Provide on-going consistent training for staff
- Ensure communication and procedures for substitutes
- Ensure that on-site documentation is available



2010-11 SES Monitoring

- Continuing providers not monitored in 2009-10
- Eight regions of the state
- Unannounced on-site visits
- Internal and external monitors



2010-11 SES Monitoring

Prior to the Visit

- Communication to the LEA unless LEA or district school serves as an approved SES provider



Communication to the LEA

At least five (5) days prior to the on-site monitoring visit, a Title I Consultant will:

- Notify the LEA Federal Programs director and/or the LEA's SES coordinator by e-mail of the upcoming visit with specific information related to location, date, and time.
- Request an LEA observer to accompany the SES monitor (not required).



During the Visit

- Documentation
- Observation
- Interview



Documentation Examples

- Student enrollment records including students with disabilities and limited English proficient students
- Student attendance records
- Student learning plans
- Student materials



Observation Examples

- Start/stop times
- Tutor interactions with students
- Student engagement
- Classroom management
- Procedures to ensure health/safety of students



Interview

- Tutors
- Site coordinators
- Other provider representative if available for announced visits



After the Visit

- Monitoring Report
- Corrective Actions
- Provider Response



Monitoring Report

- Indicators for compliance with federal requirements
- Indicators for SES provider assurances
- Indicators for program alignment to description in application



Corrective Actions

- Findings for any indicators determined to be Not Met
- Description of Corrective Actions (if required) included in the Monitoring Report
- Documentation and/or descriptions required for Corrective Actions



Provider response

- Providers have ten (10) business days to respond to all corrective actions as noted in the Monitoring Report

Provider Evaluation





Provider Evaluation

SEA must establish procedures for withdrawing approval from SES providers that fail for 2 consecutive years to demonstrate effectiveness.



SBE Policy Criteria

- Student achievement
- Parental satisfaction
- Student attendance



Student Achievement

- Assessment results
- Pre and post assessment
- Measure of “effect size” of all students in a providers program
- Results submitted to SEA in January and May



Parental Satisfaction

- Survey
- All parents of students within a providers program statewide
- Disseminated, collected, and submitted by LEA



Student Attendance

- Hours offered vs. hours attended
- All students within each provider's program statewide



Weighted Criteria

- Student achievement = 50%
- Parental satisfaction = 25%
- Student attendance = 25%
- Minimum rating of 75% to continue in Good Standing



Provider Status

- Good Standing = 75% or above
- Probationary = less than 75%
- Good Standing/Probationary With Reservations =
 - Compliance issues with Federal or State requirements
 - 3 formal complaints



With Reservation

Providers assigned any status “with reservation”

- Must address the identified areas for improvement in the next SES reporting period (i.e., semester)



Provider Removal

- 2 consecutive years of Probationary Status
- Recommended removal to SBE
- SBE makes final determination



“Emergency” Clause

SBE may immediately remove or suspend services if it is determined there are:

- Health or safety issues
- Illegal or deceptive practices,
- Violation of an assurance or other aspect of the approved plan
- Falsified information
- Violated State or Federal law



SBE Policy

Corrective action of a provider if compliance issues are raised through NCDPI's monitoring.



SBE Policy

Corrective action plan must be submitted within 30 days of the notification detailing how the provider intends to improve the deficiencies in its program.



SBE Policy

Removal from the state approved list if it fails to meet the requirements of its corrective action plan by the end of the SES reporting period following the provider's placement into "corrective action."



Provider Removal

Any provider removed from the State-approved list for any reason is ineligible to re-apply for the following 2 fiscal years.



Provider Removal

- Corrective action or termination of approval may be exercised solely with respect to the provider's program in one or more schools or districts if issues are localized.



2010-11 SES Provider Evaluation

- SERVE Center at UNC-G
- Data collected from all providers
- Report due October 15, 2010



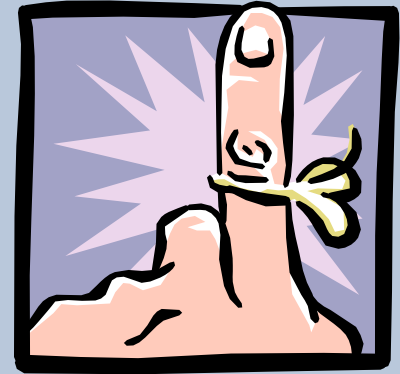
SES Standards and Procedures

- Handbook is posted online @
<http://www.ncpublicschools.org/program-monitoring/titleIA/ses/>
- Handbook will be updated January, 2011
- Providers encouraged to revisit website
<http://www.ncpublicschools.org/program-monitoring/titleIA/ses/>

Reminders

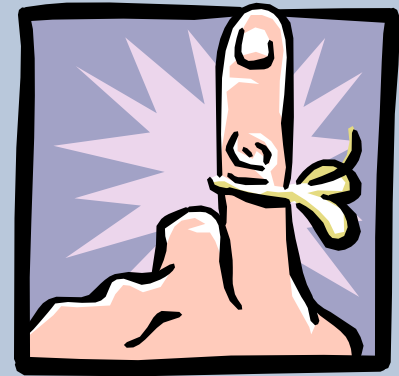


Minimum Hours



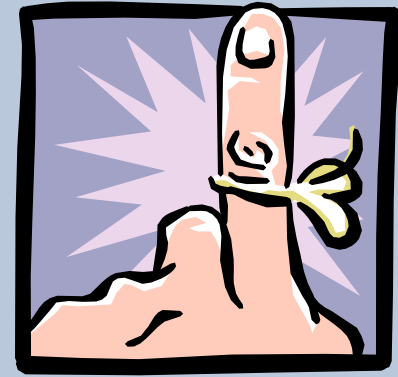
- North Carolina requires providers to offer a minimum of a 30-hour program. Up to two hours of assessment may be included within the 30 hours.

Hourly Rate



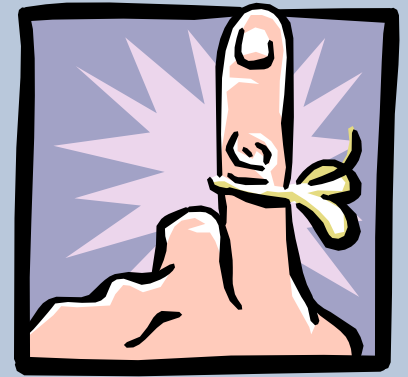
- Providers may not charge a higher hourly rate than the student cap can support.
- Providers bill for every hour that the student attends.

Provider Fairs



- Teachers must not work at Provider Fairs if they are sponsored by the school where they are employed as teachers. However, they may work at Provider Fairs at neighboring schools within the same districts where they are employed.

Site Coordinators



- SES Providers must hire a site coordinator for every site that has a minimum of 24 students.