Asbestos and Lead-Based Paint Reimbursement Program

Funded by the American Rescue Plan Act (ARPA)
NC - Health Hazards Control Unit (HHCU)







NC Health Hazards Control Unit 5505 Six Forks Road Raleigh, NC 27609

Phone: 919-707-5950

Email: ARPA-Reimbursement@dhhs.nc.gov

Program Background

The American Rescue Plan Act (ARPA) of 2021 is providing one-time federal funding to state and local governments

NC Governor and General Assembly budgeted funding to address lead in water, asbestos, and lead-based paint in NC public schools and licensed child care facilities





Who Qualifies for Reimbursement?

 Facilities participating in the Clean Classrooms for Carolina Kids program:

NC public schools and charter schools

NC licensed child care centers and family child care homes



Reimbursement Requirements

NC public schools and licensed child care facilities must have an inspection for asbestos and lead-based paint as referenced below and identify hazards

SB-105, Section 9G .8, and 10A NCAC 41C .1001 through .1007 requirements must be met

https://www.ncdhhs.gov/10a-ncac-41c-perm-rules-5-9-2023/download?attachment





What are the Eligible Expenses?

- Eligible expenses: Public Schools may receive 2/3 reimbursement and Licensed Child Care Centers may receive 100% reimbursement.
- Asbestos and lead-based paint abatement and remediation activities that meet 10A NCAC 41C .1003 and .1004.
- Replacement materials that meet requirements in 10A NCAC 41C .1002 (SDS/letter from manufacturer or lab data documenting less than 1% asbestos or less than 90PPM lead in paint).

Note: Lead in water testing and remediation at NC public schools and licensed child care facilities are managed through a different ARPA-funded program.



Where Do We Start?

Start by Preparing Your Reimbursement Packet

You can Submit a Packet for Projects Completed After April 29, 2022

The ARPA Reimbursement Program will be in Place Until December 31, 2026, or until the Funding is Depleted.

The ARPA Reimbursement Program Does Not Pay in Advance



What is Required for Reimbursement?

 ARPA Reimbursement **Documents Checklist**

equired Documents:							
ARPA Reimbursement Reque	st Form						
Current NC Substitute W-9							
UEI Number Documentation	from SAM.gov)						
Provide Appropriate Docume	nts Listed Below to Justin	y Reimbursement:					
Asbestos Inspection		Lead-Ba	sed Paint Inspection				
Provided by Clean Classroom	for Carolina Kids	Provided by Clean Classroom for Carolina Kids					
not provided by the Clean Classro	oom for Carolina	If not provided	by the Clean Classroom for Carolina				
ds program, the following docun	nents are required:	Kids program, the following documents are required:					
Scope of Work/Proposal		Scope of Work/Proposal					
Signed Contract		Signed Contract					
Asbestos Inspection Report			LBP Inspection/Risk Assessment/ XRF readings				
Lab Results & NVLAP			Lab Results & ELLAP/NLLAP				
Invoice(s) from Vendor			Invoice(s) from Vendor				
Proof of Payment(s): No Chec	k or Credit Card #s	Proof of P	ayment(s): No Check or Credit Card #s				
Scope of Work/Proposal ARPA Notification	Asbestos Abatem Signed Contract Invoice(s) from Ve	endor	Change order, if applicable Asbestos Permit, if applicable				
Asbestos Hazard Form(s)	Proof of Payment No Check or Cred		Design, if applicable				
Waste Shipment Records	Clearance/Lab Re		Air Monitoring Plan, if applicable				
	Lead-Based Paint Aba	tement/Remed					
Scope of Work/Proposal	Signed Contract		Change order, if applicable				
ARPA Notification	Invoice(s) from V		Lead Permit, if applicable				
Lead Hazard Form(s)	Hazard Form(s) Proof of Payment(Design, if applicable				
Disposal Ticket(s)	Clearance/Lab Re		Occupant Protection Plan				
	Replaceme	nt Materials					
Scope of Work/Proposal		Letter fr	rom manufacturer				
Signed Contract		Safety D	Data Sheet(s), if applicable				
Change Order, if applicable		Lab Res	ults Confirming less than 1% Asb				
Invoice(s) from Vendor		Lab Res	ults confirming less than 90 PPM LBP				
Proof of Payment(s): No Chec	k or Credit Card #s						

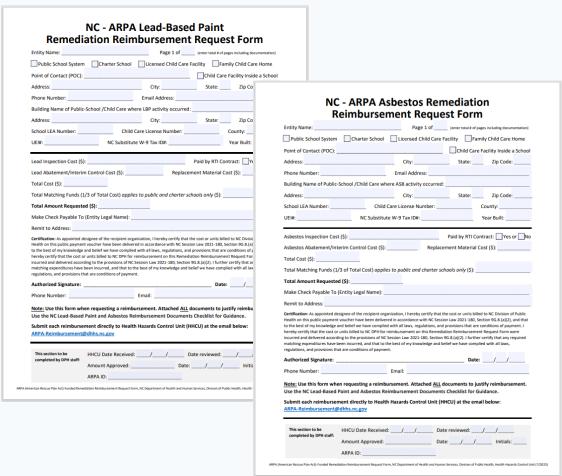
NC Department of Health and Human Services, Division of Public Health, Health Hazards Control Unit (3/2023)



What is Required for Reimbursement?

The following is required when submitting a packet for reimbursement to ARPA-Reimbursement@dhhs.nc.gov:

- ARPA Lead-Based Paint Remediation Reimbursement Request Form
- ARPA Asbestos Remediation Reimbursement Request Form



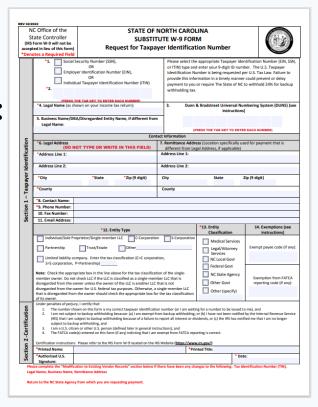


What is Required for Reimbursement?

The following is required when submitting a packet for reimbursement to ARPA-Reimbursement@dhhs.nc.gov:

Current NC Substitute W-9
 Form

 Unique Entity Identifier Number



Updated April 25, 2022
U.S. Department of Education
Office of Acquisition and Grants Administratio
Grants Policy and Training Division

Unique Entity Identifier (UEI) Fact Shee

The Federal Government has transitioned from the use of the DUNS Number to the Unique Entity identifier (UEI) as the primary means of entity identification for Federal awards government-wide. UEIs are required in accordance with 2_CER_PAIR_2S, and the transition from DUNS to UEI has resulted in the UEI being issued by the Federal Government in <u>SAM roy</u>. This means entities no longer rely on a thirdparty to obtain an identifier (i.e., a DUNS issued by Dun and Bradstreet). This change streamlines the entity identification and validation process, making it easier and less burdensome for entities to do business with the Federal Government. Information and dersing the reasons for this transition is available at The New Unique Entity Light Infertion and a Why has SAM gov changed from the DUNS Number to the Unique Entity ID.

Here is what you need to know about this recent transition

- 1. Direct Grant Recipients and Grant Applicants
- If your organization is currently registered in SAM_gov with either an active or inactive registration, you have already been assigned a UEI. Your UEI is viewable on your entity's registration record in SAM_gov. To learn how to view your UEI, see this guide: How can I view my Unique Entity IO2.
- b. On April 4, 2022, the Integrated Award Environment (IAE) systems (i.e., SAM.gov, FPDS, eSRS, FSRS, FADIIS, and CPARS) complied with the Federal Government's requirement to end use of the DUNS Number for Federal award management. ¹ The U.S. Department of Education's Grants Management System (GSI) implemented this transition on April 4, 2022.
- c. If you have an inactive registration or need to update your registration, you must ensure that your renewal or updates occur on time and as required, but this does not affect whether you have been assigned a UEI. If you have a registration, you already have a UEI. If your registration has expired, you have been assigned a UEI, but you will need to renew your registration. You

¹ SAM.gov – Or the System for Awards Management is the official site for registering to do business with the Federal

FPDS.gov – Or the Federal Procurement Data System is the official site for reporting contracts whose estimated value is \$10K or more.

add. ap. — Ot the Electronic Subcontracting Reporting System is the official after reporting subcontracts. ESSE app.—Or the Federal Funding Accountability and Transpervoy Act Subaward Reporting System (FSS) is the official reporting site that Federa prime awarders (i.e., prime contractors and prime grants recipients) use to capture and report subaward and executive compensation data reparading their first Left subawards.

compensation data regarding their first lets rubawards. FAPTIS, gav. Or the Federal awardee Performance and integrity information System is the official site in which records are entered and searchable related to Administrative Agreements, Defective Pricing, DoD Determination of Contractor Sault, Nonesponsibility Determination, Recipient Not-Qualified Determination, Termination for Cause, Termination for Default, Termination for Material Fallure to Comply, Suspension/Debarment information if the entity has any of these records, and Administrative Proceedings information.

CPARS.gov — Or the Contractor Performance Assessment Reporting System is the official site in which Federal agencies can create and measure the quality and timely reporting of contractor performance information, and where contractors can revie this information and provide comment

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Other Documents Required for Reimbursement?

The following is required when submitting a packet for reimbursement to ARPA-Reimbursement@dhhs.nc.gov:

- Bids
- Contracts
- Invoices
- Proof of Payments
- ARPA Reimbursement Documents Checklist is a tool to help you
- Note: This is for all parts of the project for asbestos, lead based paint or replacement

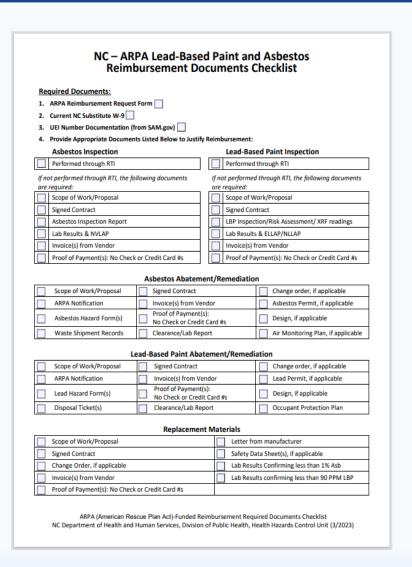
Required Documents:							
L. ARPA Reimbursement Reque	st Form						
2. Current NC Substitute W-9							
3. UEI Number Documentation	(from SAM.gov)						
1. Provide Appropriate Docume	ents Listed Below to Justi	fy Reimbursement	:				
Asbestos Inspection		Lead-Ba	sed Paint Inspection				
Provided by Clean Classroom	for Carolina Kids	Provided	by Clean Classroom for Carolina Kids				
f not provided by the Clean Classr Cids program, the following docur		If not provided by the Clean Classroom for Carolina Kids program, the following documents are required:					
Scope of Work/Proposal	ients are required.	Scope of Work/Proposal					
Signed Contract		Signed Contract					
Asbestos Inspection Report		LBP Inspection/Risk Assessment/ XRF readings					
Lab Results & NVLAP		Lab Resul	ts & ELLAP/NLLAP				
Invoice(s) from Vendor		Invoice(s)	Invoice(s) from Vendor				
Proof of Payment(s): No Che	ck or Credit Card #s	Proof of F	Proof of Payment(s): No Check or Credit Card #s				
Scope of Work/Proposal ARPA Notification Asbestos Hazard Form(s) Waste Shipment Records	Signed Contract Invoice(s) from V Proof of Payment No Check or Cred Clearance/Lab Re	:(s): lit Card #s	Change order, if applicable Asbestos Permit, if applicable Design, if applicable Air Monitoring Plan, if applicable				
	Lead-Based Paint Ab	atement/Remed	liation				
Scope of Work/Proposal	Signed Contract		Change order, if applicable				
ARPA Notification Invoice(s) from Ve			Lead Permit, if applicable				
Lead Hazard Form(s)	ard Form(s) Proof of Payment No Check or Cred		Design, if applicable				
Disposal Ticket(s)	Clearance/Lab Re		Occupant Protection Plan				
	Replaceme	nt Materials					
Scope of Work/Proposal			rom manufacturer				
Signed Contract		Safety I	Data Sheet(s), if applicable				
Change Order, if applicable		Lab Res	sults Confirming less than 1% Asb				
Invoice(s) from Vendor		Lab Res	sults confirming less than 90 PPM LBP				
Proof of Payment(s): No Che	ck or Credit Card #s						



Other Documents Required for Reimbursement?

The following is required when submitting a packet for reimbursement to ARPA-Reimbursement@dhhs.nc.gov:

- Complete Asbestos or Lead Inspection Report
- Asbestos or Lead Abatement Permit
- Design or Air Monitoring Plan
- Remediation Plan and OPP
- Clearance Report
- Documented Accredited Laboratory
- Disposal Tickets or Waste Shipment Records
- Documentation for Replacement Materials

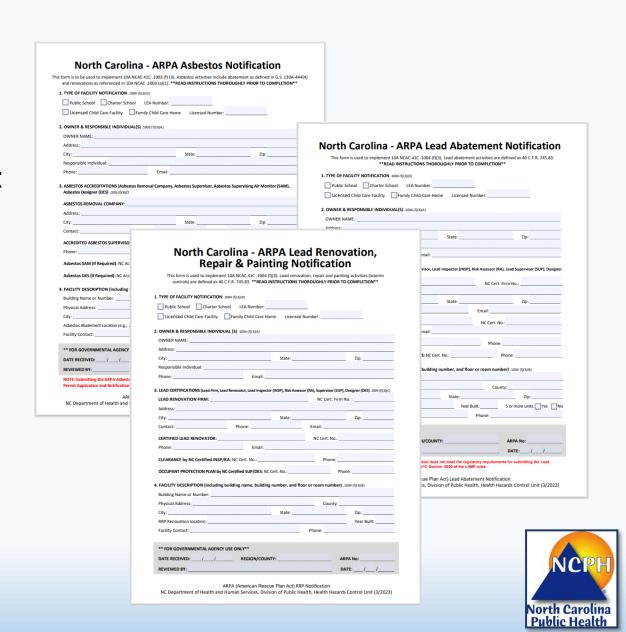




Are There Other Required Forms?

The following forms are required when performing asbestos or lead-based paint activities (These are to be emailed to HHCU 10 calendar days before the project begins)

- NC –ARPA Asbestos Notification Form
- NC –ARPA Lead Abatement and Notification Form
- NC ARPA Lead Renovation, Repair and Painting Notification

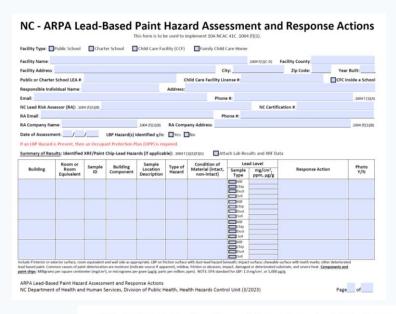


Are There Other Required Forms?

The following is required to be submitted 45 days after the project has been completed. This is to be sent to HHCU.

Hazard Forms:

- NC ARPA Lead-Based Paint Hazard Assessment and Response Actions
- NC ARPA Asbestos Hazard Assessment and Response Actions



	Public School	Charter School	Child Care F	acility (CCF)	Family Chi	ld Care Home					
ublic or Charte	Charter School LEA #: Child Care Facility License #:						CCF inside a School				
cility Name:	me:						Co	unty:	.1003(f)(1)(C)(D)		
acility Address:									City:		
esponsible Ind	vidual:							Phone #:			003(F)(1)(A)
ddress:						Er	nail:				
C Asbestos Ma	nagement Planner:			NC A	Accreditation #:			P	hone #:		
						Er	nail:				
ompany Name			.100	9(f)(1)(8) Addr	ess:						
ate of most cu	rrent AHERA Reinspe	ction: / /	Date o	of Assessment:	/ /						
rovide asbesto	1	below: .1003(f)(1)(E)(F)((G)		ACBM				1		
Building	Functional Space Room/Area or Exterior	Homogeneous Area (HGA) ID	Material Location	Material Description	Estimated Asi		os Friable or Non-Friable		Assessment ¹	Response Action ²	Photo Y/N
					14,	Chrysotile					
						Actinolite Amoste Anthophylite					
						Crocidolite Tremolite					
						Chrysotile				5	
						Actinolite Amoste					
						Anthophylite Crockfolite Tremolite					
							-				
						Chrysotile					
						Actinolite Amosite					
						Chrysotile Actinolite Amosite Anthophyllite Crocidolite					
						Actinolite Amosite Anthophyllite Crocidolite Tremolite					
						Actinokte Amosite Anthophyllite Crocidokte Tremokte					
						Actinolite Amosite Anthophyllite Crocidolite Tremolite					



Mitigation/Abatement Rule Requirements

- Enroll to have Inspection for Asbestos and LBP Hazards Using RTI Vendors
- Provide Documents to be Exempted From Inspection Based on Age of Building
- All Hazards Require Restricting Access Until Abated or Interim Control Used
- Notifications Required 10 Calendar Days Before Disturbing Asbestos or LBP
- Use NC Accredited or Certified Asbestos/LBP Individuals
- Clearance Required
- Within 45 Days of Completing Inspection Notify HHCU of Any Identified Hazard
- Within 45 Days Following Abatement Submit Documentation to HHCU



Mitigation/Abatement Reimbursement Cont.

- Hold Off Submitting a Reimbursement Packet Until the Work has Been Completed and Completely Paid for, Then Submit all Documentation for Reimbursement
- Use the Checklist on the Web Page as a Guide
- The Substitute W-9 Address Must Match the Remittance Address
- Check Math and Make Sure the Reimbursement Packet Agrees With the Submitted Documentation or Expect a Reduction in Reimbursement

Learn more about reimbursement and covered mitigation activities:

- 1. Visit https://www.ncdhhs.gov/divisions/public-health/asbestos-and-lead-based-paint-reimbursement-program-arpa
- 2. Review the Frequency Asked Questions: https://www.ncdhhs.gov/nc-arpa-frequently-asked-questions/download?attachment
- 3. Contact us at ARPA-Reimbursement@dhhs.nc.gov





Questions?

Thank you for taking steps to protect children from lead and asbestos hazards across NC!

Visit our web page: https://www.ncdhhs.gov/divisions/public-health/asbestos-and-lead-based-paint-reimbursement-program-arpa

Read our FAQ: https://www.ncdhhs.gov/nc-arpa-frequently-asked-questions/download?attachment

Use the documents checklist: https://www.ncdhhs.gov/nc-arpa-lead-based-paint-and-asbestos-reimbursement-documents-checklist/download?attachment

Please submit any questions to: <u>ARPA-Reimbursement@dhhs.nc.gov</u> or call us at (919) 707-5950.

To Enroll for an Inspection: https://www.cleanwaterforuskids.org/en/carolina/

